

RIA Disclosure Document

Purpose:

This disclosure document is prepared pursuant to Regulation 18 of the Securities and Exchange Board of India (Investment Advisers) Regulations, 2013, as amended from time to time (the Regulations) and the Circulars, Guidelines and instructions issued by SEBI thereunder from time to time. This document is intended only for the personal use of the prospective clients and clients to whom it is addressed or delivered and must not be reproduced or redistributed in any form to any other person without prior written consent of Alfaccurate Advisors Private Limited. This document does not purport to be all-inclusive / comprehensive, nor does it contain all the information which a prospective client may desire for making decisions for engaging the Investment Adviser.

History, Present Business and Background:

AlfAccurate Advisors Pvt. Ltd. (AAA) was incorporated by Mr. Rajesh B. Kothari and Mrs. Prerana Kothari on 1st June 2009 and is registered with Registrar of Companies, Mumbai having registered address at - 503 B Wing Naman Midtown, Senapati Bapat Marg, Mumbai-400013, Maharashtra India.

AAA is registered as an Investment Adviser under SEBI (Investment Advisers) Regulations, 2013 vide SEBI Reg. No.: INA000015701. BASL id: 1456.

AAA is also registered as a Portfolio Manager with SEBI under SEBI (Portfolio Managers) Regulations, 2020 vide SEBI Reg. No. INP000003419.

Further, AAA acts as a sponsor to a Category III Alternative Investment Fund known as AAA India Equity Fund vide SEBI reg. no. IN/AIF3/22-23/1226 which is managed by AlfAccurate Advisors LLP.

Affiliation with other intermediaries:

There are no affiliations with other intermediaries except that AAA has empanelled various financial intermediaries and / or financial institutions and / or individuals for promoting / distributing its various financial product offerings including availing their advisory / on-boarding platform(s).

Disciplinary History / Complaint Status:

No penalties/directions have been issued by the SEBI under the SEBI Act or any other regulatory body.

The latest information on investor complaints can be found at <https://www.alfaccurate.com/>

Terms and conditions on which it offers advisory services:

The Investment Adviser will provide non-binding investment advisory services to the Client, including but not limited to rendering advice relating to investing in, purchasing, selling or otherwise dealing in securities and advice on investment portfolio containing

securities whether written, oral or through any other means of communication for the benefit of the client and shall include family planning.

Upon mutual confirmation, the investment advisory services offered would include:

- i. structure Strategy portfolios;
- ii. advise on investment opportunities across asset classes;
- iii. advise on various investment products and securities and structuring of financial instruments;
- iv. financial planning involving analysis of clients' current financial situation, identification of their financial goals, and developing and recommending financial strategies designed to realise such goals;
- v. any other services incidental to the above.

Investment Adviser undertakes that all such Investment Advisory services shall be offered to the client with no binding whatsoever and client shall be free to implement or execute the services with any distributor/broker/intermediary of his/her/its choice.

The Services of the Advisor to the Client hereunder shall not be required to be exclusive. Client shall be free to use the services of any other Advisor and the Advisor shall be free to render similar services to others.

Advisor role is limited to advising the Clients and in no event shall be held liable for any claims of losses or damages made by the Client in respect of amount invested or transacted.

Advisor shall be solely acting as an advisor to the Portfolio and shall not be responsible for the investment / disinvestment of securities and / or custody / administrative activities on the Portfolio.

Advisor's role would be to deliver investment advisory services to the Client(s). Hence the role would be limited to advisory by carrying out portfolio review & financial planning based on risk profiling. Further, the client has complete discretion on timing and execution of the transaction(s).

Advisor does not intend to provide legal, accounting, tax or specific Investment advice. If such advice is required, the services of a competent Professional advisor should be sought.

Disclaimers:

Investments in securities markets are subject to market risks. Read all the related documents carefully before investing.

Registration granted by SEBI, enlistment as IA with Exchange and certification from NISM in no way guarantee performance of the intermediary or provide any assurance of returns to investors.
